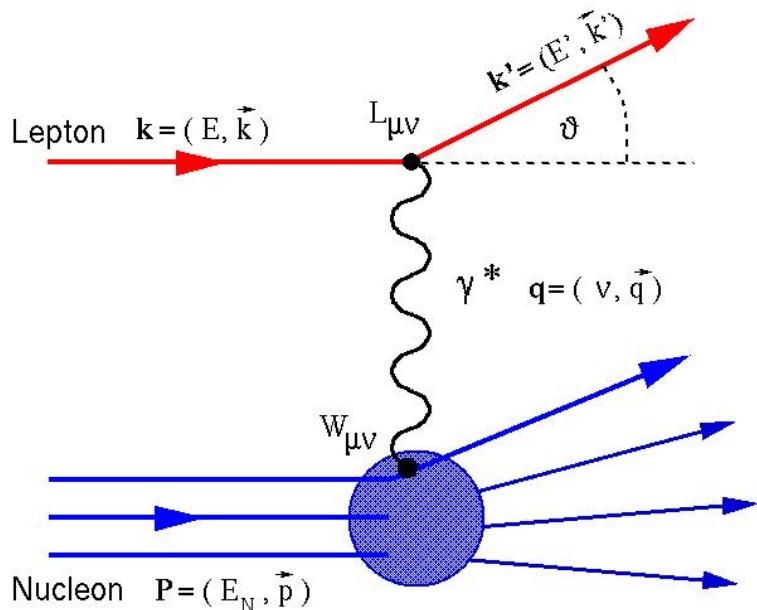


Some Electron Scattering Basics

- kinematics:



$L_{\mu\nu}$: lepton tensor

$W_{\mu\nu}$: hadron tensor

- Four-momentum transfer:

$$\begin{aligned}
 q^2 &= (E - E')^2 - (\vec{k} - \vec{k}') \cdot (\vec{k} - \vec{k}') = \\
 &= m_e^2 + m_{e'}^2 - 2(E E' - |\vec{k}| |\vec{k}'| \cos \theta) = \\
 &\approx -4 E E' \sin^2 \frac{\theta}{2} \equiv -Q^2
 \end{aligned}$$

- Mott Cross Section ($c=1$):

$$\begin{aligned}
 \left(\frac{d\sigma}{d\Omega} \right)_{Mott} &= \frac{4\alpha^2 E'^2}{Q^4} \cos^2 \frac{\theta}{2} \cdot \frac{E'}{E} \\
 &= \frac{4\alpha^2 E'^2}{16 E^2 E'^2 \sin^4 \frac{\theta}{2}} \cos^2 \frac{\theta}{2} \cdot \frac{1}{1 + \frac{E}{M}(1 - \cos \theta)} \\
 &= \frac{\alpha^2 \cos^2 \frac{\theta}{2}}{4 E^2 \sin^4 \frac{\theta}{2}} \cdot \frac{1}{1 + \frac{E}{M}(2 \sin^2 \frac{\theta}{2})}
 \end{aligned}$$

Electron scattering of a spinless point particle

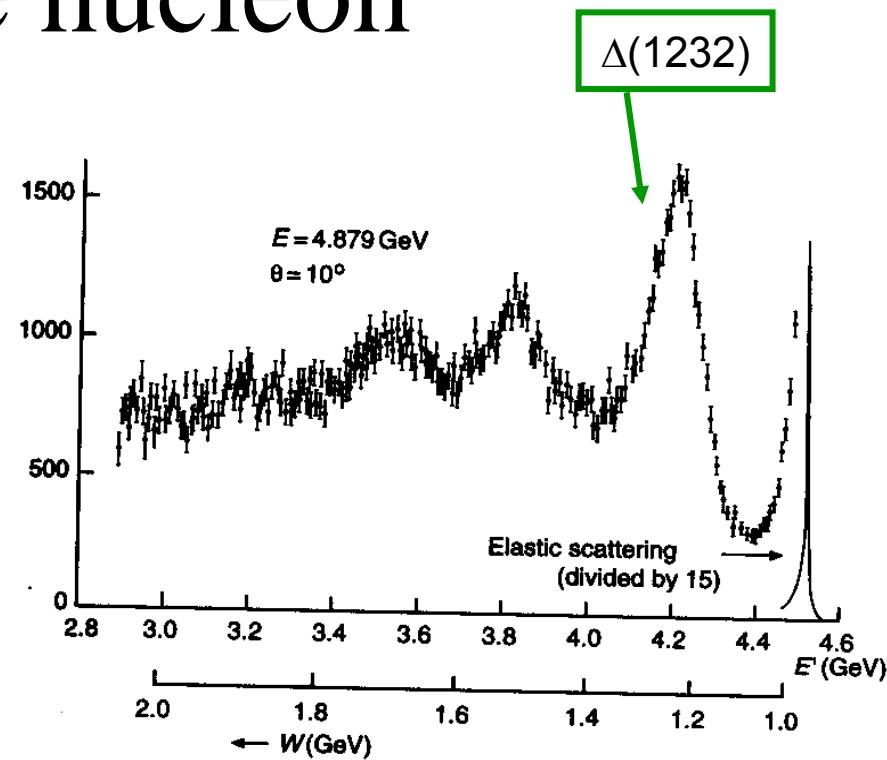
Excited states of the nucleon

- Scatter 4.9 GeV electrons from a hydrogen target:
- Why use invariant energy?
 - A: $E_x \sim 10 \text{ MeV} \ll m_A$: small E_{rec}
 - p: $E_x \sim 500 \text{ MeV} \sim m_p$: large E_{rec}
- Evaluate invariant energy of virtual-photon proton system

$$W^2 = (P_p + q)^2 = P^2 + 2Pq + q^2$$

- In the lab-frame: $P = (m_p, 0) \rightarrow$

$$W^2 = m_p^2 + 2m_p v - Q^2$$



- Observation excited states:

Nucleons are composite

→ What do we see in the data for $W > 2 \text{ GeV}$?

The Quark-Parton Model

- Assumptions:
 - Neglect masses and p_T 's
 - Proton constituent = Parton
 - Impulse Approximation:

Quasi-elastic scattering off partons

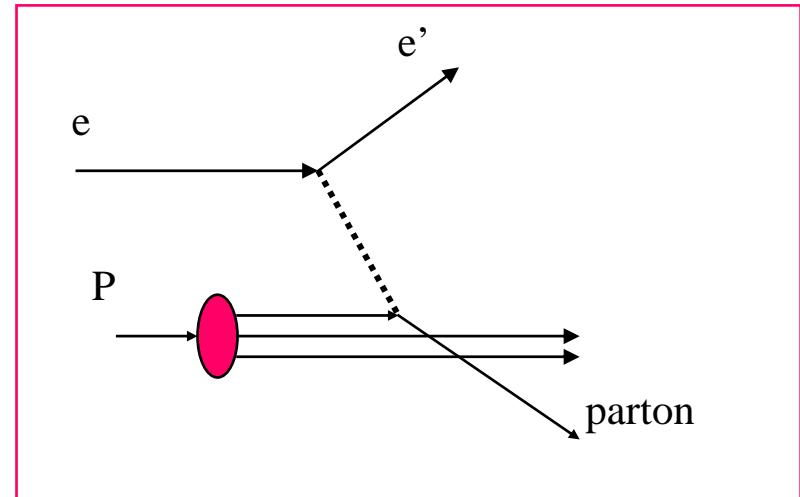
- Lets assume: $p_{quark} = xP_{proton}$

$$(xP + q)^2 = p'_{quark}^2 = m_{quark}^2 \approx 0$$

- Since $xP^2 \leq M^2 \ll Q^2$ it follows:

$$2xP \cdot q + q^2 \approx 0 \Rightarrow x = \frac{Q^2}{2Pq} = \frac{Q^2}{2Mv}$$

Definition Bjorken scaling variable



- Check limiting case:

$$W^2 = M_p^2 + 2M_p v - Q^2 \xrightarrow{x \rightarrow 1} M_p^2$$

- Therefore:

$x = 1$: elastic scattering
and $0 < x < 1$

Structure Functions F_1, F_2

- Introduce dimensionless structure functions:

$$F_1 = MW_1 \text{ and } F_2 = \nu W_2 \Rightarrow \frac{d\sigma}{dE' d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_M \frac{1}{\nu} \left[F_2(x) + \frac{2\nu}{M} F_1(x) \tan^2(\theta/2) \right]$$

- Rewrite this in terms of : $\tau = Q^2 / 4m_{quark}^2$ (elastic e - q scatt.: $2m_q v = Q^2$)

$$\frac{d\sigma}{dE' d\Omega} / \left(\frac{d\sigma}{d\Omega} \right)_M = \frac{1}{\nu} \left[F_2(x) + 2 \frac{Q^2}{4m_q^2} \frac{4m_q^2}{Q^2} \frac{\nu}{M} F_1(x) \tan^2(\theta/2) \right] =$$

$$= \frac{1}{\nu} \left[F_2(x) + 2\tau \cdot 2x F_1(x) \tan^2(\theta/2) \right]$$

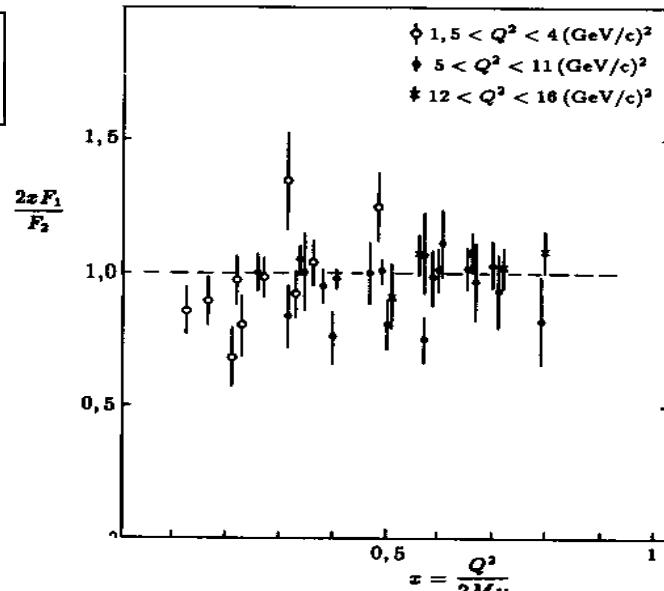
if $F_2(x) = 2xF_1(x)$

$$= \frac{1}{\nu} F_2(x) \left[1 + 2\tau \tan^2(\theta/2) \right]$$

- Experimental data for $2xF_1(x) / F_2(x)$

→ quarks have spin 1/2

(if bosons: no spin-flip $\Rightarrow F_1(x) = 0$)



Interpretation of $F_1(x)$ and $F_2(x)$

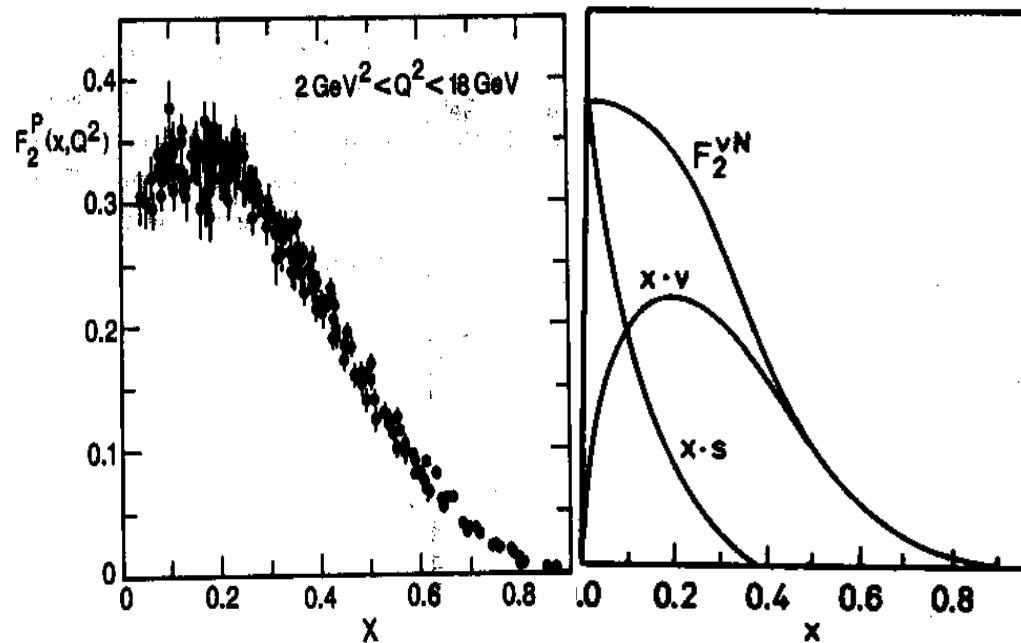
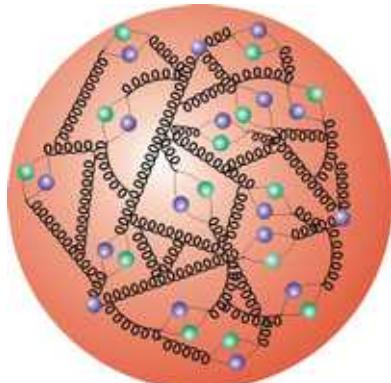
- In the quark-parton model:

$$F_1(x) = \sum_f \frac{1}{2} z_f^2 [q_f(x) + \bar{q}_f(x)]$$

[and $F_2 = 2xF_1$ analogously]

Quark momentum distribution

- Heisenberg requires:
 - Gluon emission: presence of virtual $q\bar{q}$ -pairs



- Distinguish
 - Valence quarks (N-prop.)
 - Sea quarks
 - derived from: $F_3^\nu = \sum (q_f(x) - \bar{q}_f(x))$

$$\sigma_{DIS}^{\nu, \bar{\nu}} \propto (1-y) F_2^\nu + y^2 x F_1^\nu \pm y(1-\frac{y}{2}) x F_3^\nu$$

The quark structure of nucleons

- Quark quantum numbers:

- Spin: $\frac{1}{2} \Rightarrow S_{p,n} = (\uparrow\uparrow\downarrow) = \frac{1}{2}$
- Isospin: $\frac{1}{2} \Rightarrow I_{p,n} = (\uparrow\uparrow\downarrow) = \frac{1}{2}$

- Why fractional charges?

- Extreme baryons: $Z = (-1, +2)$

$$-1 \leq 3z_q \leq +2 \Rightarrow -\frac{1}{3} \leq z_q \leq +\frac{2}{3}$$

- Assign: $z_{up} = +2/3$, $z_{down} = -1/3$

- Three families:

$$\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix} \begin{pmatrix} t \\ b \end{pmatrix}$$

$$\Rightarrow z = +\frac{2}{3}; m_u \ll m_c (\approx 1.5 \text{ GeV}) \ll m_t$$

$$\Rightarrow z = -\frac{1}{3}; m_d \ll m_s (\approx 0.3 \text{ GeV}) \ll m_b$$

- $m_{c,b,t} \gg m_{u,d,s}$: no role in p,n

- Structure functions:

$$F_2^p = x[\frac{1}{9}(d_v^p + d_s^p + \bar{d}_s^p) + \frac{4}{9}(u_v^p + u_s^p + \bar{u}_s^p) + \frac{1}{9}(s_s + \bar{s}_s)]$$

$$F_2^n = x[\frac{1}{9}(d_v^n + d_s^n + \bar{d}_s^n) + \frac{4}{9}(u_v^n + u_s^n + \bar{u}_s^n) + \frac{1}{9}(s_s + \bar{s}_s)]$$

- Isospin symmetry:

$$u_v^n = d_v^p, d_v^n = u_v^p, \bar{u}_s^n = \bar{d}_s^n = \bar{u}_s^p = \bar{d}_s^p$$

- ‘Average’ nucleon $F_2(x)$ with $q(x) = q_v(x) + q_s(x)$ etc.

$$F_2^N = \frac{1}{2}(F_2^p + F_2^n)$$

$$= \frac{5}{18}x \cdot \sum_{u,d}(q(x) + \bar{q}(x)) + \frac{1}{9}x \cdot [s_s(x) + \bar{s}_s(x)]$$

- Neutrinos:

$$F_2^\nu = x[(d_v + d_s + \bar{d}_s) + (u_v + u_s + \bar{u}_s) + (s_s + \bar{s}_s)]$$

$$= x[(d + u + s) + (\bar{d}_s + \bar{u}_s + \bar{s}_s)] = x \sum_{u,d,s}(q(x) + \bar{q}(x))$$

Fractional quark charges

- Neglect strange quarks \Rightarrow

$$\frac{F_2^{e,N}}{F_2^{\nu,N}} \approx \frac{5}{18}$$

- Data confirm factor 5/18:

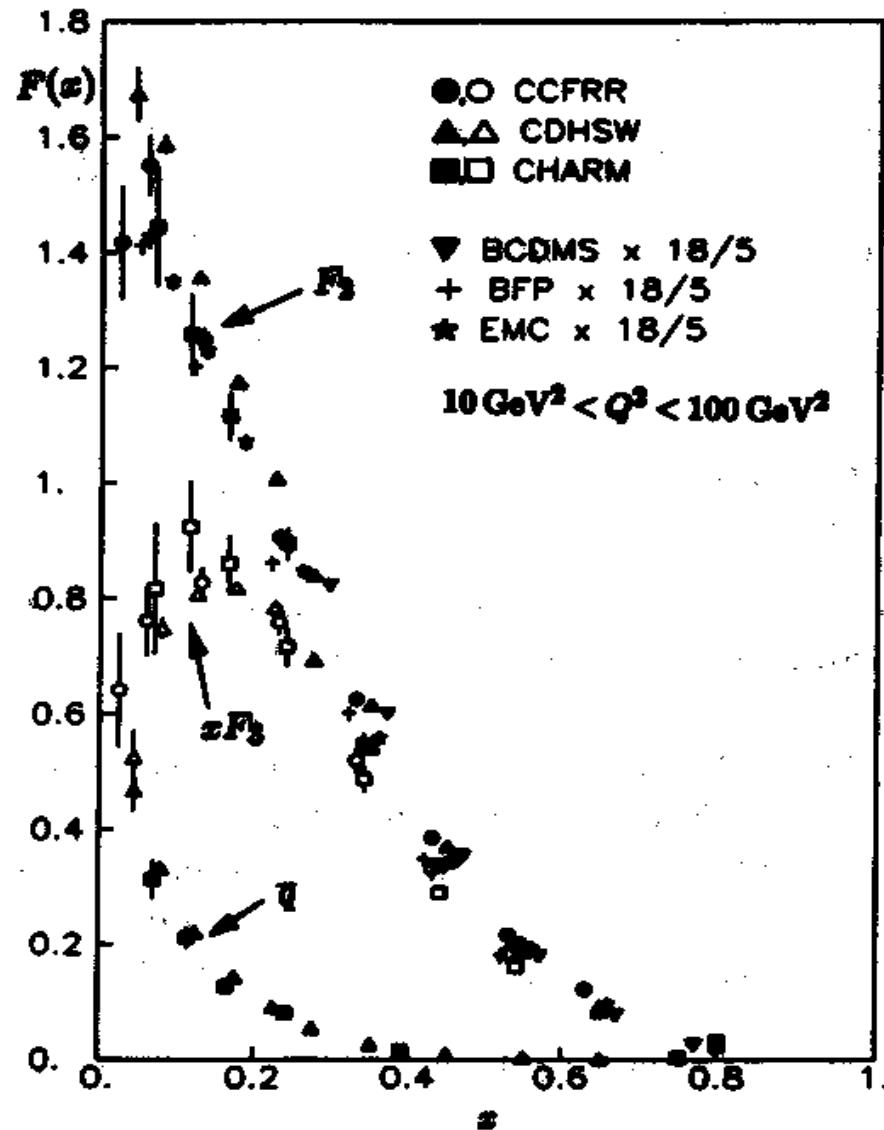
Evidence for fractional charges

- Fraction of proton momentum carried by quarks:

$$\int_0^1 F_2^{\nu,N}(x)dx = \frac{18}{5} \int_0^1 F_2^{e,N}(x)dx \approx 0.5$$

- 50% of momentum due to non-electro-weak particles:

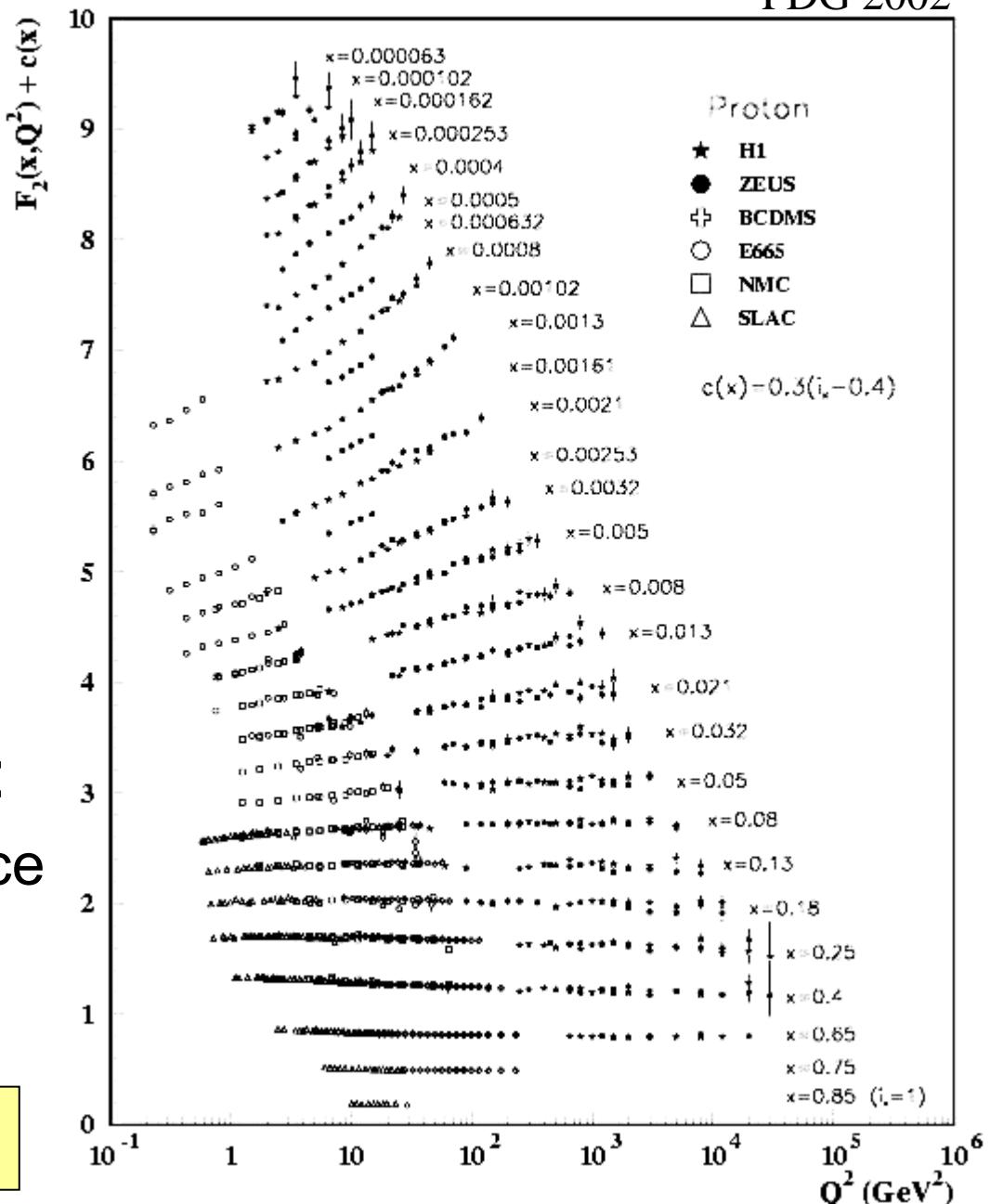
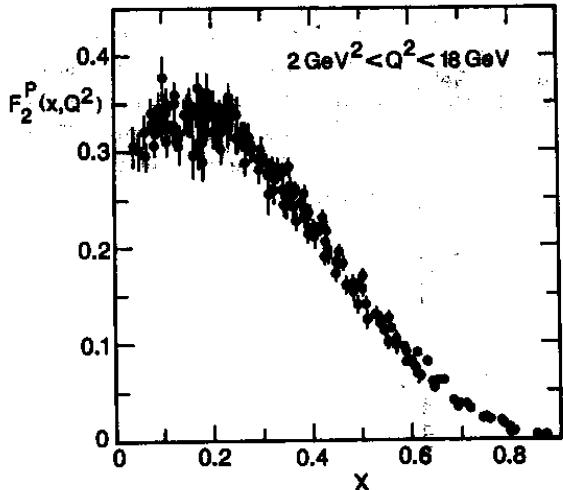
Evidence for gluons



Modern data

PDG 2002

- First data (1980):

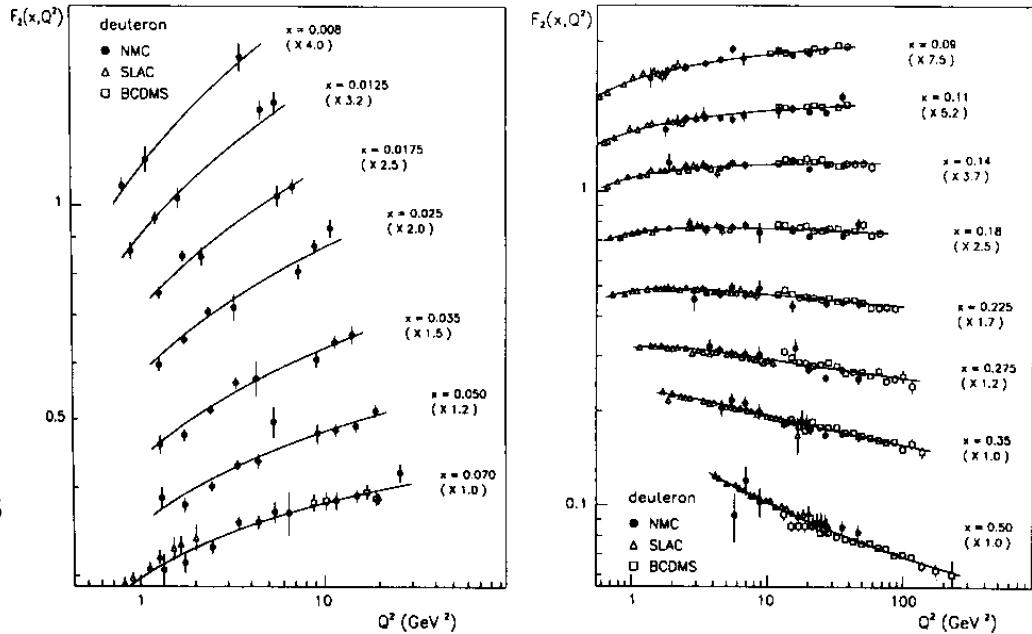


- “Scaling violations”:
 - weak Q^2 dependence
 - rise at low x
 - what physics??

..... QCD

QCD predictions: scaling violations

- Originally: $F_2 = F_2(x)$
 - but also Q^2 -dependence
- Why scaling violations?
 - if Q^2 increases:
 - \Rightarrow more resolution ($\sim 1/Q^2$)
 - \Rightarrow more sea quarks + gluons
- QCD improved QPM:

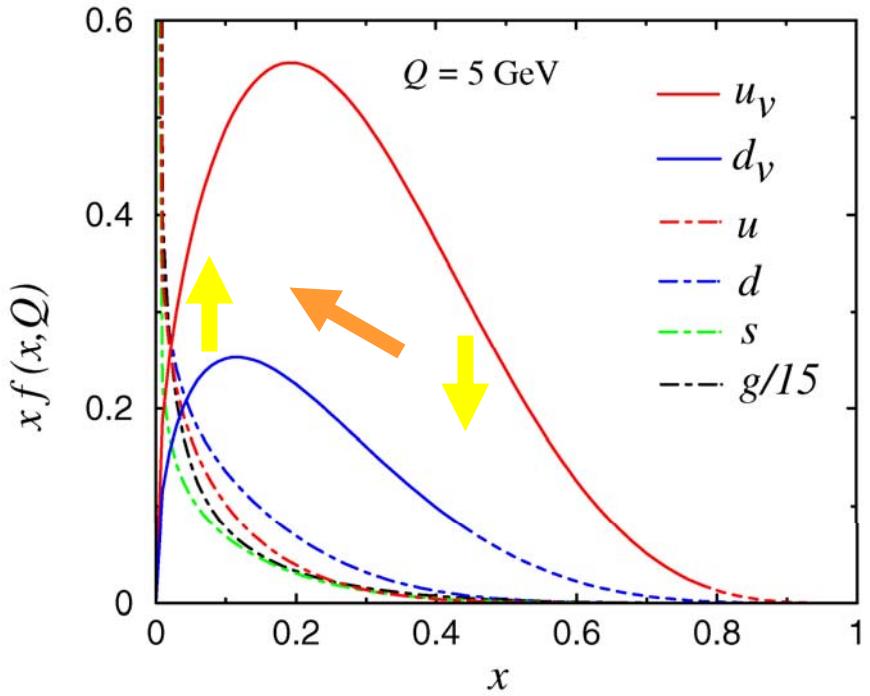


$$\frac{F_2(x, Q^2)}{x} = \left| \text{Diagram 1} \right|^2 + \left| \text{Diagram 2} \right|^2 + \left| \text{Diagram 3} \right|^2$$

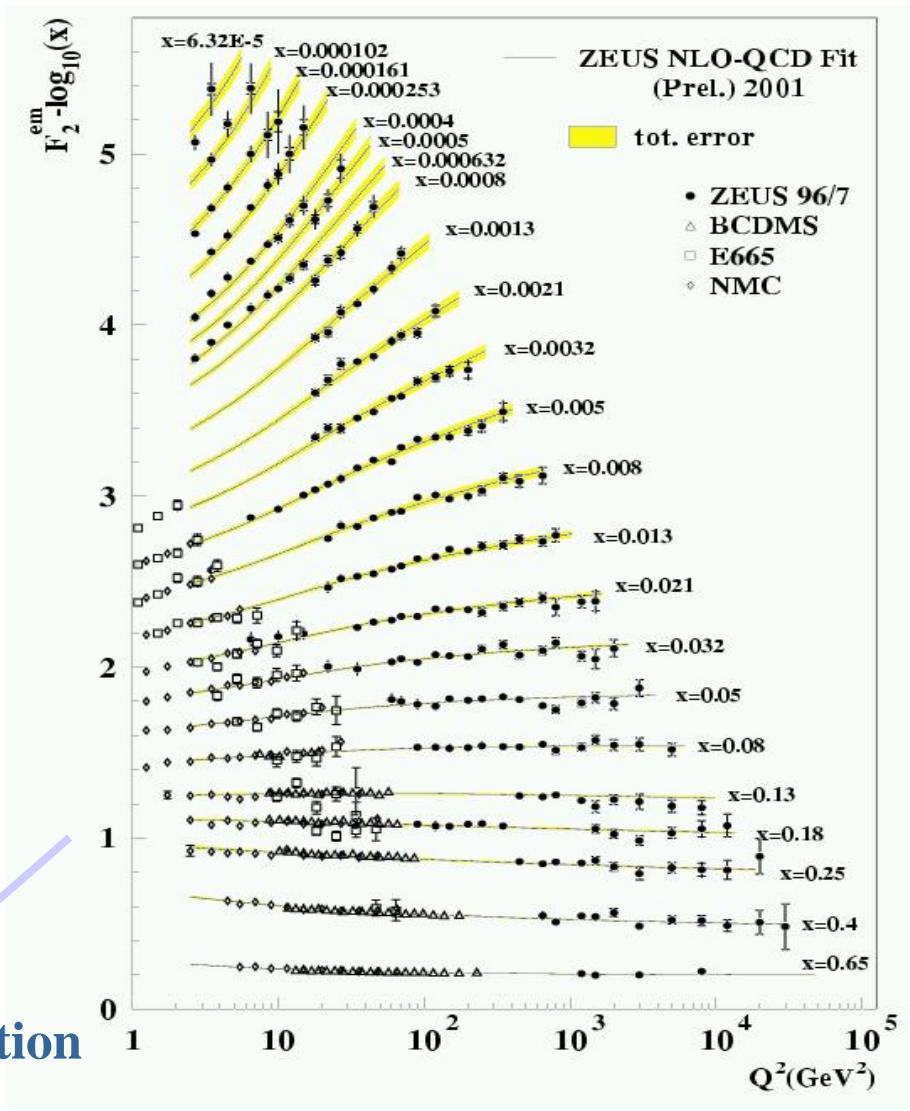
- Officially known as: Altarelli-Parisi Equations (“DGLAP”)

Q^2 Evolution of the Proton F_2 Structure Function

F_2 Structure Function measured over impressive range of x and Q^2



One can extract Parton Distribution Functions $f(x, Q)$ and do the Q^2 evolution



QCD fits of $F_2(x, Q^2)$ data

- Free parameters:
 - coupling constant:

$$\alpha_s = \frac{12\pi}{(33-n_f)\ln(Q^2/\Lambda^2)} \approx 0.16$$

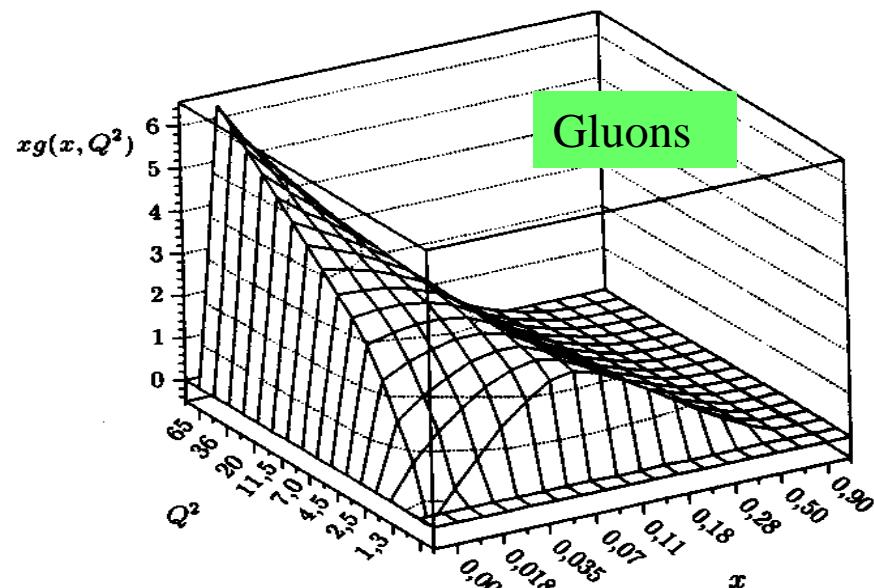
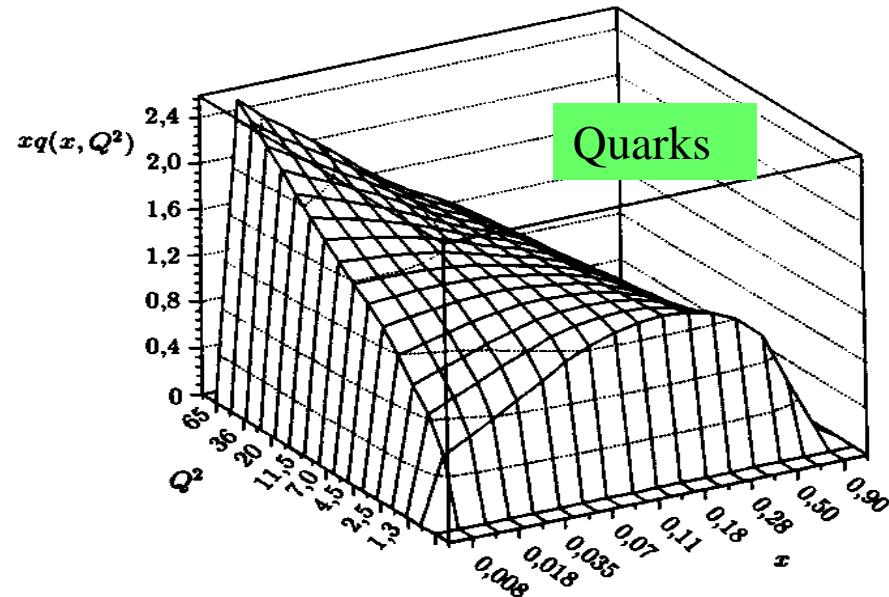
- quark distribution $q(x, Q^2)$
- gluon distribution $g(x, Q^2)$

- Successful fit:

Corner stone of QCD

- Nucleon structure:

Unique self-replicating structure

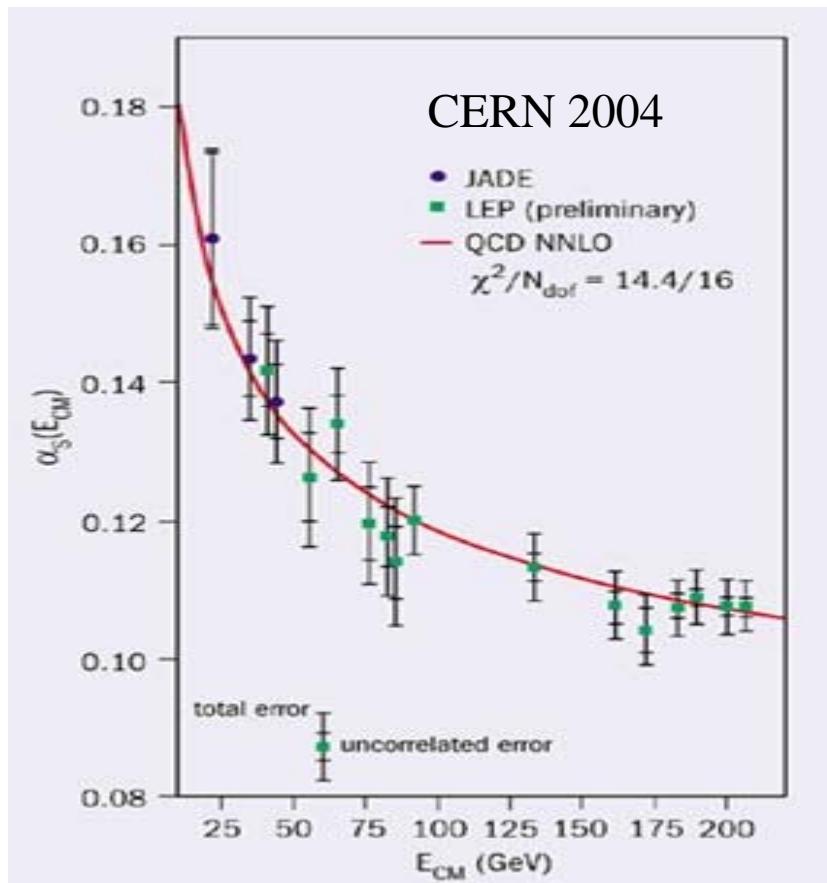


QCD predictions: the running of α_s

- pQCD valid if $\alpha_s \ll 1$:
 $\Rightarrow Q^2 > 1.0 \text{ (GeV/c)}^2$
- pQCD calculation:
$$\alpha_s(Q^2) = \frac{12\pi}{(33 - 2n_f) \cdot \ln(Q^2 / \Lambda^2)}$$
 - with $\Lambda_{\text{exp}} = 250 \text{ MeV/c}$:
$$Q^2 \rightarrow \infty \Rightarrow \alpha_s \rightarrow 0$$

 $\Rightarrow \text{asymptotic freedom}$
$$Q^2 \rightarrow 0 \Rightarrow \alpha_s \rightarrow \infty$$

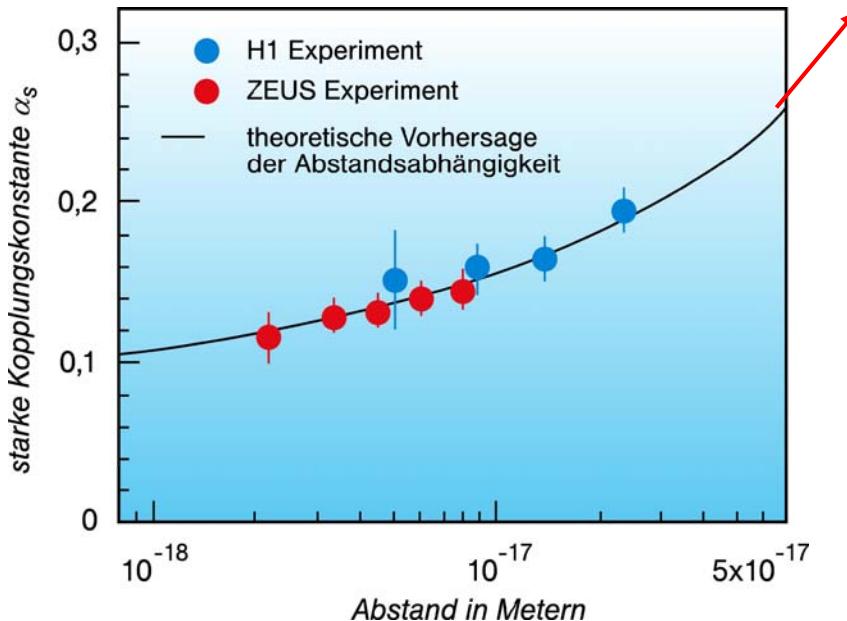
 $\Rightarrow \text{confinement}$



Running coupling constant is best quantitative test of QCD.

The problem of QCD

- Extrapolate α_s to the size of the proton, 10^{-15} m:
- If $\alpha_s > 1$ perturbative expansions fail...

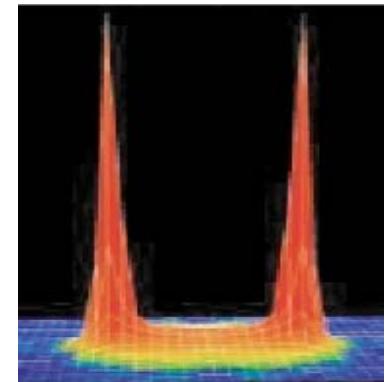


$$l \rightarrow r_{\text{proton}} \Rightarrow \alpha_s > 1$$

→ *Non-perturbative QCD:*

- Proton structure & spin
- Confinement
- Nucleon-Nucleon forces
- Hadron spectroscopy.....

Lattice QCD
simulations...



QCD and the Parton-Hadron Transition

