

Lecture # 2

Elements

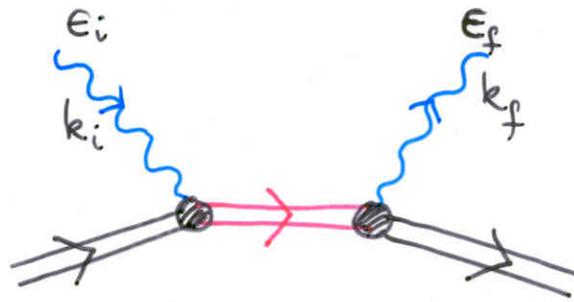
of

XPT

The concept of Effective Theory

Rayleigh Scattering as Example

Elastic scattering of light by atoms



(Forget e^- spin)

$$H = \frac{(\vec{P} - e\vec{A})^2}{2m_e} + e\Phi$$

Scattering Amplitude Thompson term of e^-

$$W_{fi} = -\frac{e^2}{2m_e} \left\{ \epsilon_f^* \cdot \epsilon_i + \frac{1}{m_e} \sum_n \left(\frac{\epsilon_f^* \cdot \langle 0 | p e^{-ik_f x} | n \rangle \langle n | p e^{ik_i x} | 0 \rangle \cdot \epsilon_i}{\omega_i + E_0 - E_n} + \text{crossed term} \right) \right\}$$

For $\omega_i \sim \omega_f \ll E_0 - E_1$, and $|k_{if}| a_0 \ll 1$
 use dipole approximation: $e^{ikx} \rightarrow 1$

e^- 's Thomson term exactly cancelled
 by a term from 2nd order perturbation
 leaving: ($\omega_i = \omega_f = \omega$)

$$\omega_{fi} \sim -e^2 \omega^2 \sum_n \left(\frac{\langle 0 | x \cdot \epsilon_f^* | n \rangle \langle n | x \cdot \epsilon_i | 0 \rangle}{E_0 - E_n} + \epsilon_f^* \leftrightarrow \epsilon_i \right)$$

All the Atomic details in here

Effective Hamiltonian

Requirements: Only photon field needed
 Gauge invariance
 Rotational invariance
 Parity invariance

$$H_{\text{effective}} = -2\pi \alpha \vec{E}^2 - 2\pi \beta \vec{B}^2$$

α : electric polarizability

β : magnetic "

$$W_{fi} = -4\pi i (\alpha \omega^2 \epsilon_f^* \cdot \epsilon_i + 4\beta (k_f \cdot k_i \epsilon_f^* \cdot \epsilon_i - k_i \cdot \epsilon_f^* k_f \cdot \epsilon_i))$$

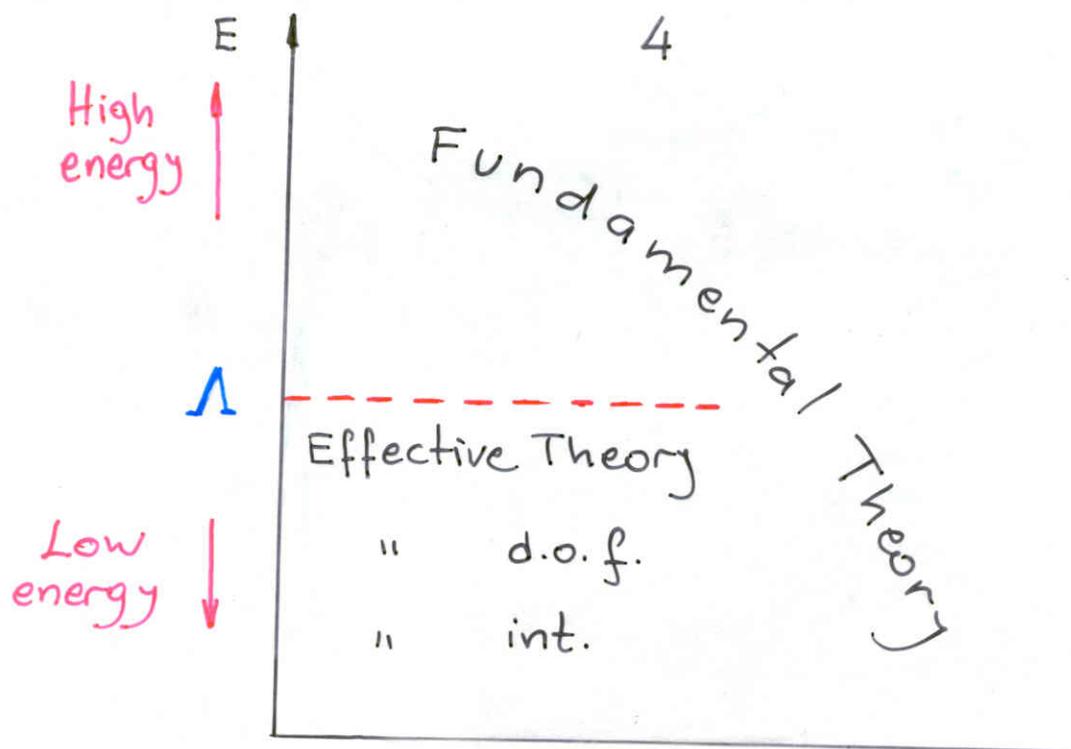
$$\sim \omega^2$$

$$\frac{d\sigma}{d\Omega} \sim \omega^4 *$$

Main physical features independent of details but given by general symmetry principles. Microscopic details encoded in effective theory parameters α & β .

Expansion in $\omega/\Delta E$ $\Delta E \sim E_1 - E_0$ can be implemented with effective Hamiltonian.

* Explains why sky is blue!



Λ : matching scale. Below Λ , effective theory can be defined as expansion in powers of E/Λ . For $E < \Lambda$ effective theory is fully equivalent to fundamental theory.

List of requirements for Effective Theory (ET_h)

- 1) Dynamical degrees of freedom:
only those that can be excited
at low energy ($E < \Lambda$).
- 2) All symmetries of fundamental
theory must be preserved by ET_h
(this includes Anomalies).
- 3) Effective parameters or "low energy
constants" (LECs) should be tuned
to make ET_h equivalent to
fundamental theory.

Advantages of using ETh

- 1) It separates the high energy / small distance physics from the low energy / long distance physics.

High Energy \rightarrow LEC's

Low Energy \rightarrow dynamics of effective d.o.f.

- 2) Provides a hierarchical order in powers of E/Λ . This makes the physics much more transparent.
- 3) It is simpler to work with than full theory.
- 4) If full theory is not well understood at scale Λ , the determination of LEC's at that scale can be substituted by matching to experimental low energy observables.

General Scheme for Building an Effective Field Theory (EFT)

Ingredients

λ_i
Small parameters

G
symmetry group

Ψ degrees of freedom at LE

↓ Effective Lagrangian

$$\mathcal{L}_{\text{Eff.}} = \sum_n \mathcal{L}^{(n)}(\Psi, \partial\Psi, \partial^2\Psi, \dots; \lambda_i)$$

(\mathcal{L}_{Eff} being invariant under G .)

$\mathcal{L}^{(n)}$ is $\mathcal{O}(1/\Lambda^n) \equiv \mathcal{O}(p^n)$
 p : generic small $E/p/m$

↓ QFT

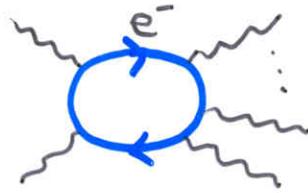
Loop expansion



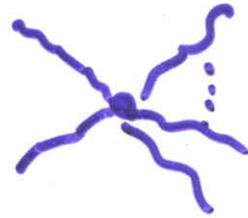
Renormalization needed

Illustrative Example : Euler-Heisenberg

Low energy $\gamma\gamma \rightarrow \gamma \dots \gamma$ processes



$$E \lesssim m_e$$



$$\alpha, m_e = \Lambda$$

$$G = U(1) \times \text{Poincaré} \times \mathcal{P} \times \mathcal{G}$$

A_μ (photon field)
($F_{\mu\nu}$)

$$\begin{aligned} \mathcal{L}_{EH} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{a}{\Lambda^4} (F_{\mu\nu} F^{\mu\nu})^2 \\ & + \frac{b}{\Lambda^4} F_{\mu\nu} F^{\nu\rho} F_{\rho\sigma} F^{\sigma\mu} + \frac{c}{\Lambda^6} F_{\mu\nu} F^{\mu\nu} \square (F_{\rho\sigma} F^{\rho\sigma}) \\ & + \dots \end{aligned}$$

(odd # of F 's forbidden by \mathcal{G})

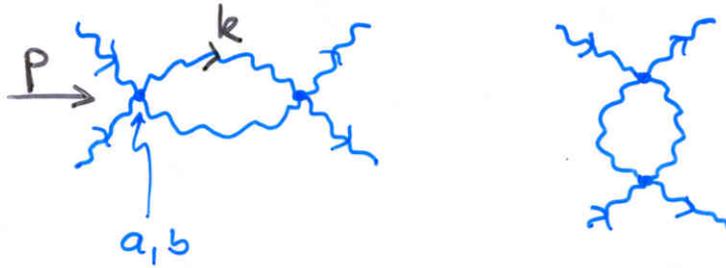
Can eliminate some higher order terms by using Eqs. of motion at lowest order

$$\partial^\mu F_{\mu\nu} = 0$$

$$\square F_{\mu\nu} = 0$$

LECs a, b, \dots calculable from QED

Loop expansion



Loop integrals of general form:

$$\int \frac{d^4 k}{(2\pi)^4} \frac{k_\mu k_\nu (P-k)_\rho (P-k)_\sigma}{k^2 (P-k)^2}$$

UV divergent integrals (quartic div.)

UV regularization required.

Requirements on regularization

- Preserve symmetries.
- Allow for low energy power counting for loop diagrams.

EFT for low energy QCD

$$G_{\text{QCD}} = U_B(1) \times SU_L(3) \times SU_R(3) \times \text{Poincaré} \\ \times \mathcal{P} \times \mathcal{G}$$

m_u, m_d, m_s (in chiral limit all zero)

Goldstone Bosons (octet of 0^- mesons)

later \rightarrow Stable Baryons (spin $1/2$ baryon octet)

GB fields:

Manifold of QCD vacua \leftrightarrow

$$\frac{SU_L(3) \times SU_R(3)}{SU_V(3)} \sim SU(3)$$

(in Abelian Higgs model we had

$$\frac{U(1)}{\mathbf{1}} = U(1))$$

$$U(x) \in SU(3)$$

$$(U^\dagger U = \mathbb{1}, \text{Tr} U = 0)$$

nice parametrization:

$$U(x) = \exp(i \pi^a(x) \lambda^a)$$

λ^a : Gell-Mann matrices

$$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\lambda^5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \quad \lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \lambda^7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad \lambda^8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

$$\text{Tr} \lambda^a \lambda^b = 2 \delta^{ab}$$

$$U(x) = \begin{bmatrix} \pi_3 + \frac{\pi_8}{\sqrt{3}} & \sqrt{2} \pi^+ & \sqrt{2} \kappa^+ \\ \sqrt{2} \pi^- & -\pi_3 + \frac{\pi_8}{\sqrt{3}} & \sqrt{2} \kappa^0 \\ \sqrt{2} \kappa^- & \sqrt{2} \bar{\kappa}^0 & -\frac{2}{\sqrt{3}} \pi_8 \end{bmatrix}$$

$$U(x) = e^{i \frac{\pi(x)}{F_0}}$$

F_0 : pion decay constant in chiral limit

Symmetry transformations of U field

$$P: U(x_0, \vec{x}) = U^\dagger(x_0, -\vec{x})$$

$$C: U(x) = U^T(x)$$

Chiral transf.:

$$U(x) \longrightarrow R U(x) L^\dagger$$

$$R \in SU_R(3) \quad , \quad L \in SU_L(3)$$

Construction of Chiral Lagrangian

First an important method: sources

Put QCD in external classical fields

that couple to quarks (e.g. EM field)

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu} + i \bar{q} \not{D}(G, l, r) q \\ - \bar{q} (S - i\gamma_5 P) q$$

$$D_\mu(G, l, r) = \partial_\mu - ig G_\mu - i \bar{q}_L l_\mu q_L - i \bar{q}_R r_\mu q_R$$

sources:

$$l_\mu = l_\mu^a \frac{\lambda^a}{2}$$

$$r_\mu \equiv r_\mu + l_\mu$$

$$r_\mu = r_\mu^a \frac{\lambda^a}{2}$$

$$a_\mu \equiv r_\mu - l_\mu$$

$$S = S_0 + S^a \lambda^a$$

$$P = P_0 + P^a \lambda^a$$

$S \rightarrow \mathcal{M}$ when sources off.

\mathcal{L}_{QCD} Locally chiral invariant

$$q_L(x) \rightarrow L(x) q_L(x)$$

$$q_R(x) \rightarrow R(x) q_R(x)$$

$$\Gamma_\mu(x) \rightarrow R(x) (\Gamma_\mu + i\partial_\mu) R^\dagger(x)$$

$$l_\mu(x) \rightarrow L(x) (l_\mu + i\partial_\mu) L^\dagger(x)$$

$$(S + i\gamma_5 P)(x) \rightarrow R(x) (S + i\gamma_5 P)(x) L^\dagger(x)$$

Currents :

$$L_\mu^a = \frac{\delta \mathcal{L}_{\text{QCD}}}{\delta l^{\alpha\mu}} = \frac{1}{2} \bar{q}_L \gamma_\mu \lambda^a q_L$$

$$R_\mu^a = \frac{\delta \mathcal{L}_{\text{QCD}}}{\delta r^{\alpha\mu}} = \frac{1}{2} \bar{q}_R \gamma_\mu \lambda^a q_R$$

$$V_\mu^a = R_\mu^a + L_\mu^a = \frac{1}{2} \bar{q} \gamma_\mu \lambda^a q$$

$$A_\mu^a = R_\mu^a - L_\mu^a = \frac{1}{2} \bar{q} \gamma_\mu \gamma_5 \lambda^a q$$

$$\bar{q} \lambda^a q = \frac{\delta \mathcal{L}_{\text{QCD}}}{\delta S^a}$$

$$\bar{q} \lambda^a \gamma_5 q = \frac{\delta \mathcal{L}}{\delta P^a}$$

Leading order chiral Lagrangian

Building blocks :

U

$$D_\mu U \equiv \partial_\mu U - i\gamma_\mu U + i U \gamma_\mu$$

$$\chi \equiv 2B_0 (s + ip)$$

under local $SU_L(3) \times SU_R(3)$:

$$D_\mu U \rightarrow R D_\mu U L^\dagger$$

$$\chi \rightarrow R \chi L^\dagger$$

Lagrangian: ($\langle A \rangle \equiv \text{Tr} A$)

$$\mathcal{L}_\chi^{(2)} = \frac{F_0^2}{4} \left(\langle D_\mu U D^\mu U^\dagger \rangle + \langle \chi^\dagger U + \chi U^\dagger \rangle \right)$$

used that: $U U^\dagger = \mathbb{1}$, $\langle U^\dagger D_\mu U \rangle = 0$, $\det U = 1$

Equations of Motion

$$D_\mu D^\mu U U^\dagger - U D_\mu D^\mu U^\dagger + U X^\dagger - X U^\dagger - \frac{1}{3} \langle U X^\dagger - X U^\dagger \rangle = 0$$

$$\left\{ \begin{array}{l} s \rightarrow \mathcal{M} = \begin{pmatrix} m_U & 0 & 0 \\ 0 & m_D & 0 \\ 0 & 0 & m_S \end{pmatrix} \\ l, r, p \rightarrow 0 \\ \downarrow \\ \text{1st order in } \pi \end{array} \right.$$

$$\square \pi + B_0 \{ \mathcal{M}, \pi \} - \frac{2}{3} B_0 \langle \mathcal{M} \pi \rangle \mathbf{1} = 0$$

LO Mass Formulas:

in physical basis: $(\square + M_a^2) \pi_a = 0$

$$\pi_a = \pi_a \text{ if } a \neq 3, 8$$

$$\pi_3 = \pi^0 = \cos \epsilon \pi_3 + \sin \epsilon \pi_8$$

$$\pi_8 = \eta = -\sin \epsilon \pi_3 + \cos \epsilon \pi_8$$

$$\tan \epsilon = \frac{\sqrt{3}}{2} \frac{m_D - m_U}{m_S - \hat{m}}$$

$$\hat{m} = \frac{1}{2} (m_U + m_D)$$

Since $m_S \gg m_{U,D}$, ϵ is small.

$$\overset{\circ}{M}_{\pi^{\pm}}^2 = 2B_0 \hat{m} \quad , \quad \overset{\circ}{M}_{\pi^0}^2 = 2B_0 \hat{m} - \frac{4}{3} \frac{\sin^2 \epsilon}{\cos 2\epsilon} B_0 (m_S - \hat{m})$$

$$\overset{\circ}{M}_{K^{\pm}}^2 = B_0 (m_D + m_S) \quad , \quad \overset{\circ}{M}_{K^0}^2 = B_0 (m_D + m_S)$$

$$\overset{\circ}{M}_{\eta}^2 = \frac{2}{3} B_0 (2m_S + \hat{m}) + \frac{4}{3} \frac{\sin^2 \epsilon}{\cos 2\epsilon} B_0 (m_S - \hat{m})$$

$$M_{GB} \sim \sqrt{m_q}$$

Gell-Mann Okubo Relation

$$2(\overset{\circ}{M}_{K^+}^2 + \overset{\circ}{M}_{K^0}^2) + 2\overset{\circ}{M}_{\pi^+}^2 - 3(\overset{\circ}{M}_{\pi^0}^2 + \overset{\circ}{M}_{\eta}^2) = 0$$

satisfied within 4%.

First important test of SXS B.

Quark mass ratios

$$i) \quad \frac{\overset{\circ}{M}_{K^+}^2 + \overset{\circ}{M}_{K^0}^2}{\overset{\circ}{M}_{\pi^+}^2} = \frac{m_S + \hat{m}}{2\hat{m}}$$

$$ii) \quad \frac{\overset{\circ}{M}_{\eta}^2 + \overset{\circ}{M}_{\pi^0}^2}{\overset{\circ}{M}_{\pi^+}^2} = \frac{4m_S + 5\hat{m}}{4\hat{m}}$$

$$iii) \quad 2 \frac{\overset{\circ}{M}_{K^0}^2 - \overset{\circ}{M}_{K^+}^2}{\overset{\circ}{M}_{\pi^+}^2} = \frac{m_D - m_U}{\hat{m}}$$

Electromagnetic Selfenergies

Charge Matrix: $\hat{Q} = e \begin{pmatrix} 2/3 & 0 & 0 \\ 0 & -1/3 & 0 \\ 0 & 0 & -1/3 \end{pmatrix}$

Most general Lagrangian at order e^2

$$\mathcal{L}_{EM} = C \langle \hat{Q} U \hat{Q} U^\dagger \rangle$$

Gives no mass shift to neutral mesons

$$\delta_{EM} M_{\pi^\pm}^2 = \delta_{EM} M_{K^\pm}^2 = 2 \frac{C}{F_0^2} e^2$$

$\epsilon \rightarrow 0$ Dashen's Theorem

$$\delta_{EM} M_{\pi^\pm} = M_{\pi^\pm} - M_{\pi^0} = 4.59 \text{ MeV} \rightarrow C/F_0^2 \approx 115 \text{ MeV}^2$$

$$\rightarrow \delta_{EM} M_{K^\pm} = 1.3 \text{ MeV}$$

Quark mass ratios

i) $m_s/\hat{m} = 27.7$

$$m_s \sim 25 \hat{m}$$

ii) $m_s/\hat{m} = 24.9$

\rightarrow

$$m_u \sim 0.56 m_d$$

iii) $\frac{m_d - m_u}{\hat{m}} = 0.57$

What is B_0 ?

$$\begin{aligned}\bar{q}q &= \bar{u}u + \bar{d}d + \bar{s}s = -\frac{\delta \mathcal{L}_{\text{QCD}}}{\delta s_0} = \frac{\delta \mathcal{L}_x^{(2)}}{\delta s_0} + \dots \\ &= \frac{F_0^2 B_0}{2} \langle U + U^\dagger \rangle\end{aligned}$$

Order parameter:

$$\langle 0 | \bar{q}q | 0 \rangle = \frac{F_0^2 B_0}{2} \times 6$$

$$B_0 = -\frac{\langle \bar{u}u \rangle}{F_0^2} = -\frac{\langle \bar{d}d \rangle}{F_0^2} = -\frac{\langle \bar{s}s \rangle}{F_0^2}$$

$$\hat{M}_\pi^2 = \hat{m} \cdot \frac{\langle \bar{u}u + \bar{d}d \rangle}{F_0^2}$$

Gell-Mann, Oakes, Renner relation

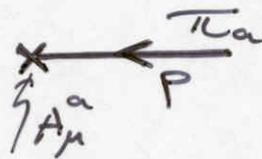
What is F_0 ?

$$A_\mu^a = \frac{\delta \mathcal{L}_{QCD}}{\delta a^{\mu a}} = \frac{\delta \mathcal{L}_X^{(2)}}{\delta a^{\mu a}} + \dots$$

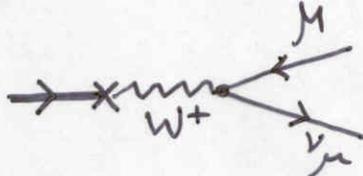
$$= -i \frac{F_0^2}{4} \langle \lambda^a (\partial_\mu U^\dagger U - \partial_\mu U U^\dagger) \rangle$$

$$= -F_0 \partial_\mu \pi_a + \dots$$

$$\langle 0 | A_\mu^a(x) | \pi_b, p \rangle = i \delta_{ab} p_\mu F_0 e^{-ip \cdot x}$$



Determination of F_π , F_K

Weak decay $\pi^+ \rightarrow \mu^+ \nu_\mu$ 

The diagram shows a pion π^+ decaying into a muon μ^+ and a muon neutrino ν_μ . The pion is represented by a wavy line labeled W^+ that splits into a muon and a neutrino.

$$\Gamma(\pi^+ \rightarrow \mu^+ \nu_\mu) = F_\pi^2 \frac{G_F^2}{4\pi} |V_{ud}|^2 m_\mu^2 M_{\pi^+} \left(1 - \frac{m_\mu^2}{M_{\pi^+}^2}\right) \left(1 + \frac{\alpha}{\pi} C_\pi\right)$$

$$F_{\pi^+} = 92.41 \pm 0.37 \text{ MeV}$$

Similarly

$$F_{K^+} = 113.0 \pm 1.5 \text{ MeV}$$

$\pi\pi$ Scattering

$$\pi\pi \rightarrow \pi\pi$$

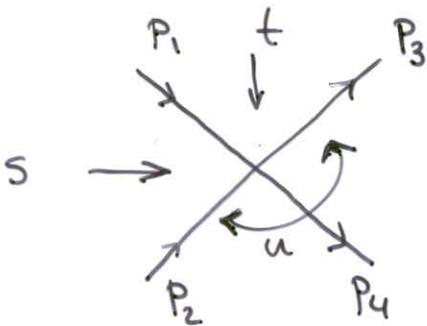
$$\pi K \rightarrow \pi K$$

$$\pi\pi \rightarrow KK \quad ?$$

$$\mathcal{L}_{\pi\pi \rightarrow \pi\pi}^{(2)} = \frac{1}{48F_0^2} \langle [\partial_\mu \pi, \pi] [\partial_\mu \pi, \pi] + 2B_0 K \pi^4 \rangle$$

Background:

Kinematics



Mandelstam invariants

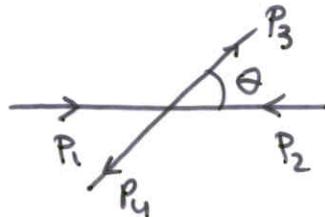
$$s = (p_1 + p_2)^2$$

$$t = (p_1 - p_3)^2$$

$$u = (p_1 - p_4)^2$$

$$s + t + u = 4M_\pi^2$$

CM frame



$$p = |\vec{p}_1|$$

$$s = 4M_\pi^2 + 4p^2$$

$$t = -2p^2(1 - \cos\theta)$$

$$u = -2p^2(1 + \cos\theta)$$

Isospin states of $\pi\pi$: $I=0,1,2$

l : orbital angular momentum of $\pi\pi$ system

Bose statistics \Rightarrow $I=0,2$ if $l = \text{even}$
 $I=1$ if $l = \text{odd}$

partial wave expansion

$$T^I(s, \theta) = 32\pi \sum_l (2l+1) P_l(\cos\theta) t_l^I(s)$$

partial wave amplitudes:

$$t_l^I(s) = \sqrt{\frac{s}{s-4M_\pi^2}} \frac{1}{2i} \left(e^{2i\delta_l^I(s)} - 1 \right)$$

effective range expansion:

$$p \cot \delta = -\frac{1}{\tilde{a}} + \frac{1}{2} r_0 p^2 + \dots$$

\tilde{a} : scattering length

$$a \equiv -M_\pi \tilde{a}$$

Leading order results for scattering

$l = 0, 1$ only.

$$T^0(s, t, u) = \frac{1}{F_0^2} (2s - M_\pi^2)$$

$$T^1(s, t, u) = \frac{1}{F_0^2} (t - u)$$

$$T^2(s, t, u) = \frac{1}{F_0^2} (2M_\pi^2 - s)$$

Exercise: obtain partial wave amplitudes.

Scattering lengths to LO:

From data

$$a_0^0 = \frac{7}{32\pi} \frac{M_\pi^2}{F_0^2} = 0.16$$

$$0.216 \pm 0.013$$

$$a_0^2 = -\frac{1}{16\pi} \frac{M_\pi^2}{F_0^2} = -0.045$$

$$-0.044 \pm 0.001$$

Second key test of $S\chi SB$.

$$a_0^0 \rightarrow -0.15 \text{ fm}$$

Compare with NN scattering lengths

$$\left({}^{234}L_S \right) \quad {}^1S_0: \tilde{a}_1 = -23.7 \text{ fm} \quad {}^3S_1: \tilde{a}_3 = 5.42 \text{ fm}.$$

Observations:

- LO results give strong evidence for SXS \bar{B} in QCD.
- EFT gives access to fundamental QCD parameters, namely, quark mass ratios.
- $\langle \bar{q}q \rangle$ as leading order parameter for SXS \bar{B} .
- What LO lacks:
 - No π structure (point like). NLO needed for form factors
 - $F_K \neq F_\pi$ in real world.
 - Corrections to $\pi\pi$ scattering.
 - Deviations from GM-O.
 - No $\pi^0 \rightarrow \gamma\gamma$
 - etc.